

REGISTRATION DETAILS

REGISTERED HOLDER

Title (Mr/Mrs/Ms...)	First Named Holder's name in full / Company Name	
Address		
Post Code	Country	
Registration No.	Tel. No.	Mobile Tel No.
Is applicant a politically exposed person?*	☐ YES ☐ NO	
Date of Birth / Company Registration Date	I.D. Card/Passport/Reg No.	
Place of Birth	Nationality	

JOINT HOLDERS / LEGAL GUARDIANS

Title (Mr/Mrs/Ms...)	First Joint Holder's name in full / Company Name	
Address		
		Post Code
I.D. Card/Passport/Reg No.		
Is applicant a politically exposed person?*	☐ YES ☐ NO	
Title (Mr/Mrs/Ms...)	Second Joint Holder's name in full / Company Name	
Address		
		Post Code
I.D. Card/Passport/Reg No.		
Is applicant a politically exposed person?*	☐ YES ☐ NO	

REGISTERED ADDRESS

Merill Funds, 16 Central Business Hub, Level 3,
Mdina Road, Attard ATD9036 – Malta

T +356 2122 4410
F +356 2122 3810

E info@merillfunds.com
W www.merillfunds.com

DISCLAIMER

Merill Funds SICAV plc and its Sub-Fund are licenced as Collective Investment Schemes by the Malta Financial Services Authority.

AUTHORISATION (please use a separate Redemption Form for each Fund)

I/We hereby authorise you to redeem:

☐

Worth of shares

☐

Number of shares

(Amount in words of proceeds or shares to be redeemed)

from my/our investment in the

Type of shares (where applicable)

☐

Accumulation Shares

☐

Distribution Shares

☐

Accumulation Shares

☐

Distribution Shares

☐

Accumulation Shares

☐

Distribution Shares

and make payment in _____ (currency) as follows:

☐

by Local Draft

☐

by Foreign Draft

☐

by crediting my/our account with:

Name of Bank and Branch

(Account Number)

☐

Other:

CAPITAL GAINS INSTRUCTIONS

I/We declare and confirm that for Maltese income tax purposes, I am/we are considered to be:

☐

a Maltese resident person being either

- a bank carrying business of banking order under the Banking Act; or
- a person carrying on the business of insurance; or
- any other company which is owned and controlled, directly or indirectly, by a bank or by an insurance company as aforesaid; or
- a company registered under the Malta Financial Services Authority Act.

☐

a person exempt from tax in Malta.

☐

a person not resident in Malta for tax purposes.

In the case that you have ticked any of the above boxes, no withholding tax will be deducted from the capital gains, if any, upon redemption of funds units. If you have ticked that you are not a resident in Malta for tax purposes, please sign in the space/s provided below.

First Named Holder's Signature

First Joint Holder's Signature

Second Joint Holder's Signature

In the case that you have not ticked any of the above boxes, please tick as you require:

☐

I/We understand that a withholding tax at the rate of 15% will be deducted at source from any capital gains received upon the redemption of shares in this fund.

OR

☐

I/We hereby elect to receive capital gains upon the redemption of units in this fund gross of tax (i.e. without deduction of the 15% withholding tax). I/We understand that I am/we are required to declare the capital gains on the income tax return and will be charged to tax on the gain at the normal rates of tax. I/We also authorise Valletta Fund Management Limited to render an account and to furnish all requisite details to the Commissioner of Inland Revenue of payments of capital gains covered by this election.

SIGNATURES

Notes: 1. All joint holders must sign unless mandated instructions have been given. 2. Execution by bodies of persons is to be made by duly authorised officers whose capacity must be stated.

First Named Holder's Signature

First Named Holder's Name in full and capacity (if applicable)

First Joint Holder's Signature

First Joint Holder's Name in full and capacity (if applicable)

Second Joint Holder's Signature

Second Joint Holder's Name in full and capacity (if applicable)

Date _____

DECLARATION BY INTERMEDIARY

I/We confirm to the Manager that:

1. I/We have personally verified the identity of the customer/s appearing on this application;
2. I/We maintain, at our offices, the necessary identification records to ensure a proper audit trail in relation to 1. above; and
3. I/We have taken all other action as required by and in accordance with applicable Prevention of Money Laundering rules and regulations to which I am/we are subject.

Signature

Name in full

Designation

A "Politically Exposed Persons" (PEP) means a natural person who is or have been entrusted with prominent public functions, other than middle ranking or more junior officials. For the purposes of this definition, this includes the following:

- a. Heads of State, Heads of Government, Ministers, Deputy or Assistant Ministers, and Parliamentary Secretaries;
- b. Members of Parliament or similar legislative bodies;
- c. Members of the governing bodies of political parties;
- d. Members of the superior, supreme, and constitutional courts or of other high level judicial bodies whose decisions are not subject to further appeal, except in exceptional circumstances;
- e. Members of courts of auditors, or of the boards of central banks;
- f. Ambassadors, chargé d'affaires and other high-ranking officers in the armed forces;
- g. Members of the administrative, management or supervisory boards of state-owned enterprises; and

Points a to f should include individuals exercising an equivalent function within an EU institution or any other international body

The regulation shall also be applicable to family members or persons known to be close associates of PEP:

"Family Members" include the spouse (or equivalent); the children and their spouses; and the parents.

"Persons Known to be Close Associates" means:

- i. natural person known to have joint beneficial ownership of a body corporate or any other form of legal arrangement, or any other close business relations, with that PEP;
- ii. natural person who has sole beneficial ownership of a body corporate or any other form of legal arrangement that is known to have been established for the benefit of that PEP.

The regulatory requirements are mandatory as long as a PEP remains entrusted with a prominent public function and **for at least twelve months after the date on which that person ceased to be entrusted with a prominent public function.**



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